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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY DOCKET NUMBER PA1905 US
INTERNATIONAL APPLICATION NO. PCT/US2004/037867		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) Unassigned 10/531819
INTERNATIONAL FILING DATE 12 November 2004 (12.11.2004)		PRIORITY DATE CLAIMED 12 12 November 2003 (12.11.2003)
TITLE OF INVENTION CARDIAC VALVE ANNULUS REDUCTION SYSTEM		
APPLICANT(S) FOR DO/EO/US DOUK, Nareak; RAFIEE, Nasser; BLOOM, Eliot; FOGG, Douglas; HUYNH, Rany; and BARONE, David D.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(3)(2)). a. <input type="checkbox"/> is attached hereto (required only if communication by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). a. <input type="checkbox"/> are attached hereto (required only if communication by the International Bureau). b. <input type="checkbox"/> have been communication by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information:		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public which is to file (any by the USPTO to process an application). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.51) Unassigned		10/531819		INTERNATIONAL APPLICATION NO. PCT/US2004/037867	ATTORNEY'S DOCKET NUMBER PA1905 US
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee.....\$300				\$300.00	
22. <input checked="" type="checkbox"/> Examination fee: If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....\$100 All other situations.....\$200				\$200.00	
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office.....\$400 All other situations.....\$500				\$100.00	
TOTAL OF 21, 22 AND 23				\$600.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in a paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$0	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	\$0	
43 - 100 =	0 / 50 =	0	X \$250	\$0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h))				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$700.00	
Total claims	34 - 20 =	14	x \$50	\$	
Independent claims	4 - 3 =	1	x \$200	\$200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			x \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	
SUBTOTAL =				\$1500.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority data (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$1500.00	
Fees for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 cfr 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED				\$1500.00	
				Amount to be refunded:	\$
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<p>a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 01-2525 in the amount of \$1500.00 to cover the above fees.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2525. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
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FILING ACKNOWLEDGMENT

Mailing Date:	<u>12 November 2004</u>	Appl. No.:	
Ref No.:	<u>PA1905 PCT</u>	Filing Date:	<u>12 November 2004</u>
Inventor(s):	<u>G. Sater</u>	Atty/Lgl Asst:	<u>CCM/ksg</u>
Title:	<u>Coronary Sinus Approach For Repair of Mitral Valve Regurgitation</u>		

<input checked="" type="checkbox"/>	Transmittal Letter	
<input checked="" type="checkbox"/>	PCT Request	
<input checked="" type="checkbox"/>	Specifications, <u>22</u> pages	
<input checked="" type="checkbox"/>	Claims, <u>6</u> pages	
<input checked="" type="checkbox"/>	Abstract, <u>1</u> page	
<input checked="" type="checkbox"/>	Drawings, <u>14</u> sheets	
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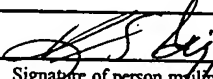
PCT/US 04/37867
 EV325092099US

I. Certification under 37 CFR 1.10 (if applicable)

EX 325092099 US
Express Mail mailing number

12/2003
Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of person mailing correspondence

Kim S. Grigg
Typed or printed name of person mailing correspondence

II. ☒ New International Application

TITLE	CARDIAC VALVE ANNULUS REDUCTION SYSTEM
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Earliest priority date (Day/Mon/Year)
12/11/2003 (12-Nov-03)

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.
- B. ☐ There is no prior U.S. application relating to this invention.
- C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

application no.	60/519,114	filed on	12 November 2003 (12-11-2003)
application no.		filed on	

- D. ☐ The present international application ☐ contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is(are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

- D. ☐ Submission of Priority Documents

Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette

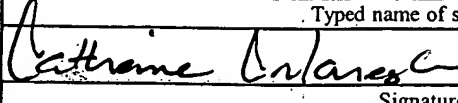
V. ☐ Other (please specify):

The person signing this form is the:

- ☐ Applicant
- ☒ Attorney/Agent (Reg. No.) 35,268
- ☐ Common Representative

Catherine C. Maresh, Senior Patent Counsel

Typed name of signer



Signature